

MiFID II Top 5 Venue Reporting Table

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Introduction

The Markets in Financial Instruments Directive (MiFID II) requires investment firms to summarise and make public, on an annual basis, the top five execution venues used (brokers or counterparties) in relation to the volume of trades placed across a range of financial instruments. Investment firms are required to publish this summary both for trades they have executed themselves, and for trades they have placed with other firms for execution.

The data above is based on execution data in the period from 1st January 2018 to 31st December 2018 inclusive.

The disclosure of information on execution venue selection (also known as RTS 28 disclosure) for HSBC Global Asset Management (Malta) Ltd. on this website, www.hsbc.com.mt(the 'Site'), is subject to the following: HSBC Global Asset Management (Malta) Ltd. provides the data on this Site in order to meet its regulatory requirements, which require this data to be made available to the public. HSBC Global Asset Management (Malta) Ltd. does not permit any third party to sell the data published on this Site

Placed					
	Top five execution venues ranked in terms of trading volumes (descending order)				
Debt Instruments (Bonds)					
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Curmi & Partners Ltd LEI: 529900QM18G4LM0T5Q56	29.56	27.08	0%	0%	0%
Mitsubishi UFJ Trust International Limited LEI: 213800ZXJ1356VKHTX31	23.25	20.83	0%	0%	0%
HSBC Bank plc, London LEI: MP615ZYZBEU3UXPYFY54	20.87	16.67	0%	0%	0%
Calamatta & Cuschieri LEI: 391200EKTIW00R813R66	11.45	4.17	0%	0%	0%
Maltese Government Treasury LEI: 549300CZVZYMSGX6X78	9.11	20.83	0%	0%	0%
Equities — Shares & Depositary Receipts					
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Rizzo Farrugia & Co - Stock Brokers Ltd LEI: 213800YMKONSUSVXNM63	62.31	77.78	0%	0%	0%
Curmi & Partners Ltd LEI: 529900QM18G4LM0T5Q56	36.21	16.67	0%	0%	0%
Calamatta & Cuschieri LEI: 391200EKTIW00R813R66	1.47	5.56	0%	0%	0%
n/a					
n/a					
Exchanged Traded Products					
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
HSBC Bank plc, London LEI: MP615ZYZBEU3UXPYFY54	100.00	100.00	0%	0%	0%
n/a					
n/a					
n/a					
n/a					